

Boundary distributions  
on the Drinfeld period domain for  $GL_3$   
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# Structure

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# Motivation

# Modular forms and modular symbols

Let  $\mathbb{H} = \{z \in \mathbb{C} \mid \text{Im}(z) > 0\}$ , the (complex) *upper half plane*.

## Association:

$$\begin{array}{ccc} \{\text{modular forms on } \mathbb{H}\} & \xrightarrow{\text{Period integrals}} & \{\text{modular symbols}\} \\ \text{(analytic objects)} & & \text{(combinatorial objects)} \end{array}$$

This association is Hecke-equivariant!

# Modular forms and modular symbols

## Upshot:

- Modular symbols “know” special  $L$ -values.
- Great tool for explicit computations (for example to compute Heegner points).
- Modular symbols (and their overconvergent variant) show up in the construction of eigenvarieties.

**Aim:** Discuss a non-archimedean analogue of this construction.

## The central objects

# Notation

- Let  $K$  be a non-archimedean local field:

$$\left\{ \begin{array}{ll} \text{char}(K) = 0 : & K/\mathbb{Q}_p \text{ finite extension} \\ \text{char}(K) = p > 0 : & K = \mathbb{F}_q((t)), \quad q = p^e \end{array} \right\}$$

- Let  $\pi$  denote a uniformizing parameter in  $K$  and  $\nu(\cdot)$  the normalized valuation on  $K$ .
- Let  $\mathcal{O}_K$  denote the ring of integers of  $K$ .
- Let  $\mathbb{C}_K$  denote the completion of an algebraic closure of  $K$ .
- Let  $n \geq 2$  and  $G = \text{GL}_n(K)$ . (In the sequel, mostly  $n \in \{2, 3\}$ .) The diagonal torus in  $G$  is denoted by  $T$ . The Borel subgroup of upper triangular matrices is denoted by  $B$ .

# The Drinfeld period domain

The *Drinfeld period domain* for  $G$  is the space

$$\mathcal{X} := \mathcal{X}^{(n)} := \mathbb{P}_K^{n-1} \setminus \bigcup_{H \in \mathcal{H}} H,$$

where  $\mathcal{H}$  denotes the set of all  $K$ -rational hyperplanes in  $\mathbb{P}_K^{n-1}$ .

- $\mathcal{X}$  is a rigid space over  $K$ .
- $\mathcal{X}$  carries a natural action by  $G$ .

**Remark:** For  $n = 2$ , we have

$$\mathcal{X}(\mathbb{C}_K) = \mathbb{P}^1(\mathbb{C}_K) \setminus \mathbb{P}^1(K) = \mathbb{C}_K \setminus K.$$

$\rightsquigarrow \mathcal{X}$  serves as an analogue of  $\mathbb{H}$ .



# The Bruhat-Tits building

The *Bruhat-Tits building*  $\mathcal{T} := \mathcal{T}^{(n)}$  of  $G$  is the simplicial complex given as follows.

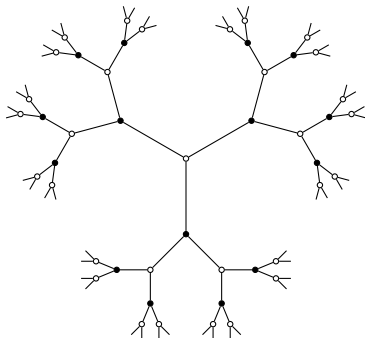
- The vertices  $\mathcal{T}_0$  consist of homothety classes  $[\Lambda]$  of lattices  $\Lambda \subset K^n$ .
- The  $m$ -cells  $\mathcal{T}_m$  for  $m \in \{1, \dots, n-1\}$  consist of sets  $\{[\Lambda_0], \dots, [\Lambda_m]\}$  where

$$\pi\Lambda_0 \subsetneq \Lambda_m \subsetneq \Lambda_{m-1} \subsetneq \dots \subsetneq \Lambda_0.$$

A pointed  $m$ -cell is an  $m$ -cell with a distinguished vertex  $[\Lambda_0]$ . The set of pointed  $m$ -cells is denoted by  $\hat{\mathcal{T}}_m$ .

- The group  $G$  acts transitively on  $\mathcal{T}$ .
- There is a  $G$ -equivariant *reduction map*  $\text{red}: \mathcal{X} \rightarrow \mathcal{T}$ . The preimages of the  $(n-1)$ -cells are certain multi-annuli in  $\mathcal{X}$ . This map encodes the structure of  $\mathcal{X}$  as a rigid space.
- The flag variety  $G/B$  can be viewed as the boundary of  $\mathcal{T}$ .

# The Bruhat-Tits building



**Figure** The Bruhat-Tits building for  $n = 2$  and  $K = \mathbb{Q}_2$  or  $K = \mathbb{F}_2((t))$ .

# The central triangle

Let  $k \geq 0$  with  $n \mid k$ . We want to find maps and relations between the following three objects:

$$\begin{array}{ccc}
 \mathcal{O}_{\mathcal{X}}(k+n) & \text{---} & C_{\text{har}}(\mathcal{T}, V_k) \\
 & \searrow \quad \swarrow & \\
 & \text{St}_n^{\text{an}}(k)' &
 \end{array}$$

# The holomorphic discrete series representation

## Definition:

The *holomorphic discrete series representation*  $\mathcal{O}_{\mathcal{X}}(k+n)$  of weight  $k+n$  is the space  $\mathcal{O}_{\mathcal{X}}$  of global rigid analytic functions on  $\mathcal{X}$  endowed with a weight- $(k+n)$  action by  $G$ :

$$g_* f(\omega) = \det(g)^{(k+n)/n} j(g, \omega)^{-(k+n)} f(g\omega), \quad f \in \mathcal{O}_{\mathcal{X}}, \omega \in \mathcal{X}, g \in G.$$

**Example:**  $k=0$ :  $\mathcal{O}_{\mathcal{X}}(n) \cong \Omega_{\mathcal{X}}^{n-1}$ .

**Remark:** Invariants under arithmetic group  $\rightsquigarrow$  analogue of modular forms.

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# Harmonic Cocycles

Let  $V_k := (\mathrm{Sym}^k((\mathbb{C}_K^n)^*) \otimes_{\mathbb{C}_K} \det^{-k/n})^*$ .

## Definition:

A map  $c: \widehat{\mathcal{T}}_{n-1} \rightarrow V_k$  is called a *harmonic cocycle* if:

- (i) Let  $\sigma \in \widehat{\mathcal{T}}_{n-1}$  and let  $\rho_\sigma$  be a generator of the group fixes  $\sigma$  modulo the group that fixes  $\sigma$  pointwise. Then

$$c(\rho_\sigma \sigma) = (-1)^{n-1} c(\sigma).$$

- (ii) Let  $\tau \in \mathcal{T}_{n-2}$ . Then

$$\sum_{\sigma \mapsto \tau} c(\sigma) = 0,$$

where the sum is over all pointed  $(n-1)$ -cells  $\sigma \in \widehat{\mathcal{T}}_{n-1}$  sharing the face  $\tau$ , each with distinguished vertex opposite to  $\tau$ .

The  $G$ -module of harmonic cocycles is denoted by  $C_{\mathrm{har}}(\mathcal{T}, V_k)$ .

# The central triangle

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 \mathcal{O}_{\mathcal{X}}(k+n) & \xrightarrow{\quad\quad\quad} & C_{\text{har}}(\mathcal{T}, V_k) \\
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 \end{array}$$

# The locally analytic Steinberg representation

Let  $\chi_k: T \rightarrow K^\times$  be the character given by  $t \mapsto \det(t)^{-k/n} t_{nn}^k$ . Let

$$\mathcal{A}_k := \text{Ind}_B^G(\chi_k) = \{f \in C^{\text{an}}(G, \mathbb{C}_K) \mid f(gb) = \chi_k(b^{-1})f(g), g \in G, b \in B\}$$

the locally analytic induction from  $B$  to  $G$  of  $\chi_k$ . This is a locally analytic  $G$ -representation in the sense of Schneider-Teitelbaum.

Let  $B \subsetneq P \subset G$  be a parabolic subgroup. Then

$$\mathcal{A}_{P,k} := \text{Ind}_P^G(\text{Ind}_B^{P,\text{alg}}(\chi_k) \otimes_K \mathbb{C}_K)$$

is naturally a  $G$ -submodule of  $\mathcal{A}_k$ .

## Definition:

The *locally analytic Steinberg representation of  $G$  of weight  $k$*  is the  $G$ -module

$$\text{St}_n^{\text{an}}(k) := \mathcal{A}_k / \sum_{B \subsetneq P \subset G} \mathcal{A}_{P,k}.$$



## The $GL_2$ -case (after Schneider-Teitelbaum)

# The residue map

Note that  $V_k \cong \mathcal{P}_k^*$  with  $\mathcal{P}_k := \mathbb{C}_K[x]_{\deg \leq k}$ .

**Theorem:** (Schneider (1984))

There is a  $G$ -equivariant residue map  $\text{Res}_k: \mathcal{O}_{\mathcal{X}}(k+2) \rightarrow C_{\text{har}}(\mathcal{T}, V_k)$  given by

$$\text{Res}_k(f)(\sigma)(x^i) = \text{res}_{\sigma}(\omega^i f(\omega) d\omega) \quad \text{for } f \in \mathcal{O}_{\mathcal{X}}, \sigma \in \widehat{\mathcal{T}}_1,$$

where  $\text{res}_{\sigma}(\cdot)$  is the residue in the series expansion on the oriented annulus given by the preimage of (the interior of)  $\sigma$  under the reduction map.

# The Poisson kernel

Note that we have  $G/B \cong \mathbb{P}^1$  and pullback under  $K \hookrightarrow \mathbb{P}^1(K)$  gives an isomorphism

$$\mathrm{St}_2^{\mathrm{an}}(k) \cong C^{\mathrm{an}}(\mathbb{P}^1, k)/\mathcal{P}_k.$$

Here,  $C^{\mathrm{an}}(\mathbb{P}^1, k)$  is the space of locally analytic functions on  $\mathbb{P}^1(K)$  except for a possible pole of order  $\leq k$  at  $\infty$ .

**Theorem:** (Teitelbaum (1990))

There is a  $G$ -equivariant Poisson kernel  $l_k: \mathrm{St}_2^{\mathrm{an}}(k)' \rightarrow \mathcal{O}_{\mathcal{X}}(k+2)$  given by

$$l_k(\lambda)(\omega) = \lambda(x \mapsto \theta(x, \omega)) \quad \text{for } \lambda \in \mathrm{St}_2^{\mathrm{an}}(k)', \omega \in \mathcal{X},$$

where  $\theta(x, \omega) = 1/(\omega - x)$ , the *kernel function*.

## Extending distributions

Let  $C_{\text{har}}^b(\mathcal{T}, V_k) \subset C_{\text{har}}(\mathcal{T}, V_k)$  be the subspace of *bounded* harmonic cocycles.

**Theorem:** (Amice-Vélu (1975), Vishik (1976), Schneider (1984), Teitelbaum (1990))

There is a  $G$ -equivariant injective map

$$L_k: C_{\text{har}}^b(\mathcal{T}, V_k) \rightarrow \text{St}_2^{\text{an}}(k)'.$$

**Idea:**  $c \in C_{\text{har}}(\mathcal{T}, V_k)$  defines a distribution on locally polynomial functions (of degree  $\leq k$ ). If this distribution is bounded, it can be uniquely extended to allow integration of locally analytic functions.

**Remark:** The case  $k = 0$  is particularly simple: Approximate continuous (or locally analytic) function on  $\mathbb{P}^1(K)$  by locally constant ones.

# The central triangle

If we transfer the notion of boundedness to the other spaces, we obtain the triangle:

$$\begin{array}{ccc}
 \mathcal{O}_{\mathcal{X}}(k+2)^b & \xrightarrow{\text{Res}_k} & C_{\text{har}}^b(\mathcal{T}, V_k) \\
 & \nwarrow I_k \quad \swarrow L_k & \\
 & \text{St}_2^{\text{an}}(k)', b &
 \end{array}$$

**Theorem:** (Teitelbaum (1990))

We have  $\text{Res}_k \circ I_k \circ L_k = \text{id}$ .

# Applications

All maps are  $G$ -equivariant  $\rightsquigarrow$  Can take invariants under arithmetic groups.

- $\text{char}(K) = 0$ : Construction of  $p$ -adic  $\mathcal{L}$ -invariants: Teitelbaum (1990), Iovita-Spieß (2003), Chida-Mok-Park (2015)
- $\text{char}(K) = p > 0$ : Eichler-Shimura isomorphism for Drinfeld modular forms: Böckle (2012), Hecke-module structures of spaces of Drinfeld modular forms: Böckle-G.-Perkins (2019)
- Explicit computations: Böckle-Butenuth (2012), G. (2019)

## The $GL_3$ -case

# Going beyond $GL_2$

- Schneider-Teitelbaum (1997): Any  $n \geq 2$ ,  $\text{char}(K) = 0$  and  $k = 0$ .
- All other cases for  $n \geq 3$  have been open.
- We consider  $n = 3$ ,  $K$  of arbitrary characteristic and any  $k \geq 0$ .  
( $\rightsquigarrow$  **restrict**  $n$ , **allow** any  $K$  and  $k$ )



# The residue map

Schneider-Teitelbaum: Construct  $\text{Res}_0: \mathcal{O}_{\mathcal{X}}(3) \rightarrow C_{\text{har}}(\mathcal{T}, \mathbb{C}_K)$  in analogy with the  $GL_2$ -case.

**Idea:** Construct a *translation map*  $t_k: \mathcal{O}_{\mathcal{X}}(k+3) \hookrightarrow \mathcal{O}_{\mathcal{X}}(3) \otimes_{\mathbb{C}_K} V_k$  and consider

$$\mathcal{O}_{\mathcal{X}}(k+3) \xrightarrow{t_k} \mathcal{O}_{\mathcal{X}}(3) \otimes_{\mathbb{C}_K} V_k \xrightarrow{\text{Res}_0 \otimes \text{id}} C_{\text{har}}(\mathcal{T}, \mathbb{C}_K) \otimes_{\mathbb{C}_K} V_k \rightarrow C_{\text{har}}(\mathcal{T}, V_k).$$

(In the  $GL_2$ -case: Schneider-Stuhler (1991))

**Theorem:** ( $k = 0$ : Schneider-Teitelbaum (1997),  $k > 0$ : G. (2020))

There is a  $G$ -equivariant residue map  $\text{Res}_k: \mathcal{O}_{\mathcal{X}}(k+3) \rightarrow C_{\text{har}}(\mathcal{T}, V_k)$  given by the analogous formula as in the  $GL_2$ -case.

# The Poisson kernel

Fix the *Plücker-embedding*  $pl: G/B \rightarrow \mathbb{P}^2(K) \times \mathbb{P}^2(K)$  given by

$$g \mapsto ([\alpha_1(g) : \alpha_2(g) : \alpha_3(g)], [\beta_1(g) : \beta_2(g) : \beta_3(g)]),$$

where the column vector  $(\alpha_1(g), \alpha_2(g), \alpha_3(g))$  is the first column of  $g$  and  $\beta_i(g)$  is the determinant of the  $2 \times 2$  submatrix of  $g$  consisting of the first two columns and row  $4 - i$  removed. It is a closed immersion.

**The kernel function:** (Schneider-Teitelbaum (1997)) Define  $\theta: G/B \times \mathcal{X} \rightarrow \mathbb{C}_K$  by

$$\theta(g, \omega) = \frac{\alpha_1(g)}{\alpha_1(g)\omega_1 + \alpha_2(g)\omega_2 + \alpha_3(g)} \cdot \frac{\beta_1(g)}{\beta_1(g)\omega_2 + \beta_2(g)}.$$

# The Poisson kernel

**Problem:** The function  $\theta(g, \omega)$  is *not* locally analytic everywhere.

- This did not occur in the  $GL_2$ -case!
- Major obstacle for integration in the case  $k > 0$ .

**Proposition:** (G. (2020))

There exists an explicit locally analytic representative  $\hat{\theta}(g, \omega)$  for the class of  $\theta(g, \omega)$  in  $\text{St}_3^{\text{con}} := C(G/B, \mathbb{C}_K) / \sum_{B \subsetneq P \subset G} C(G/P, \mathbb{C}_K)$ .

**Idea:** Analyse the locus where  $\theta(g, \omega)$  is not locally analytic. It turns out that this is an explicit  $\mathbb{P}^1 \subset G/B$  that is linked to a parabolic subgroup  $P \subset G$ . We modify the kernel function in a natural way on an open neighborhood of this locus.

# The Poisson kernel

We can prove the following theorem.

**Theorem:** (G. (2020))

There is a  $G$ -equivariant Poisson kernel  $I_k: \mathrm{St}_3^{\mathrm{an}}(k)' \rightarrow \mathcal{O}_{\mathcal{X}}(k+3)$  given by

$$I_k(\lambda)(\omega) = \lambda(g \mapsto \det(g)^{-2k/3} \beta_1(g)^k \hat{\theta}(g, \omega)) \quad \text{for } \lambda \in \mathrm{St}_3^{\mathrm{an}}(k)', \omega \in \mathcal{X}.$$

**Idea:** We first prove the theorem for  $k = 0$ . Then we consider the diagram:

$$\begin{array}{ccc} \mathrm{St}_3^{\mathrm{an}}(k)' & \longrightarrow & \mathrm{St}_3^{\mathrm{an}}(0)' \otimes_{\mathbb{C}_K} V_k \\ \downarrow I_k & & \downarrow I_0 \otimes \mathrm{id} \\ \mathcal{O}_{\mathcal{X}}(k+3) & \xrightarrow{t_k} & \mathcal{O}_{\mathcal{X}}(3) \otimes_{\mathbb{C}_K} V_k \end{array}$$

## Extending distributions

The final step is to develop an analogue of the theorem of Amice-Vélu and Vishik. To develop a systematic approach for this, we introduce the following space of *(generalized) automorphic forms*:

$$\mathbb{A}(V_k) := \{ \varphi : G \rightarrow V_k \mid \varphi(xgh) = \varphi(g) \cdot h \text{ for } x \in K^\times, h \in \mathcal{I} \},$$

where  $\mathcal{I} \subset GL_3(\mathcal{O}_K)$  denotes the Iwahori subgroup of matrices that are upper triangular mod  $\pi$ .

There are four natural Hecke operators acting on  $\mathbb{A}(V_k)$ : Two  $U$ -operators  $(U_{\pi,i})_{i \in \{1,2\}}$  and two Atkin-Lehner operators  $(W_{\pi,i})_{i \in \{1,2\}}$ .

We obtain a  $G$ -equivariant embedding  $C_{\text{har}}(\mathcal{T}, V_k) \hookrightarrow \mathbb{A}(V_k)$  whose image  $\mathbb{A}(V_k)^{\text{new}}$  consists of eigenforms for all four operators with explicit eigenvalues:  $\rightsquigarrow$  Can also transfer the notion of boundedness and consider a space  $\mathbb{A}(V_k)_b^{\text{new}}$ .

# Extending distributions

## Definition:

An eigenform  $\varphi \in \mathbb{A}(V_k)_b$  is called *non-critical* if it lifts uniquely to an eigenform in spaces of automorphic forms with overconvergent and partially overconvergent coefficients (i.e. the analogue of Coleman classicality holds).

**Idea:** In this non-critical case, we can use the values of the lifts as local building blocks for the desired extension of the distribution. This is inspired by the  $GL_2$ -case, where similar automorphic forms have been used to explicitly compute these distributions, but not to construct them.

## Theorem: (G. (2020))

Assume that every automorphic form in  $\mathbb{A}(V_k)_b^{\text{new}}$  is non-critical. Then we obtain the desired  $G$ -equivariant map

$$L_k: C_{\text{har}}^b(\mathcal{T}, V_k) \rightarrow \text{St}_3^{\text{an}}(k)'.$$

# A control theorem

Let  $\alpha_1, \alpha_2 \in \mathcal{O}_K \setminus \{0\}$ . We say that the pair  $(\alpha_1, \alpha_2)$  *has small slope* if

$$\nu(\alpha_i) \leq \nu_i^{\text{crit}} \quad \text{where} \quad \nu_i^{\text{crit}} = \begin{cases} k, & i = 1, \\ 0, & i = 2, \end{cases}$$

for  $i \in \{1, 2\}$ .

**Theorem:** (G. (2020))

Let  $\alpha_1, \alpha_2 \in \mathcal{O}_K \setminus \{0\}$  be such that the pair  $(\alpha_1, \alpha_2)$  has small slope. Then each form in  $\mathbb{A}(V_k)_{\mathfrak{b}}^{(U_{\pi, i=\alpha_i})_{i \in \{1, 2\}}}$  is non-critical.

## Non-critical forms

The bounds in the previous theorem are consistent with the standard literature, e.g. Williams (2018), Bellaïche-Chenevier (2019).

**But:** Since the forms in  $\mathbb{A}(V_k)_b^{\text{new}}$  have slopes  $(2k/3, k/3)$ , this only gives the existence of  $L_k$  for  $k = 0$ .

**Conjecture:** (G. (2020))

Every automorphic form in  $\mathbb{A}(V_k)_b^{\text{new}}$  is non-critical.

**Hope:** The forms in  $\mathbb{A}(V_k)_b^{\text{new}}$  are very special: They are not just eigenforms for the two  $U$ -operators, but also for the Atkin-Lehner operators. We want to exploit these additional symmetries.

**Remark:** While we did not obtain the existence of  $L_k$  in general, the transfer to a lifting question makes the problem a lot more accessible. Systematically, this seems to be the correct point of view.



# The central triangle

Finally we obtain the triangle:

$$\begin{array}{ccc}
 \mathcal{O}_{\mathcal{X}}(k+3)^b & \xrightarrow{\text{Res}_k} & C_{\text{har}}^b(\mathcal{T}, V_k) \\
 & \nwarrow I_k \quad \nearrow L_k & \\
 & \text{St}_3^{\text{an}}(k)', b &
 \end{array}$$

**Theorem:** (G. (2020))

Assume that our conjecture holds. Then we have  $\text{Res}_k \circ I_k \circ L_k = \text{id}$ .

