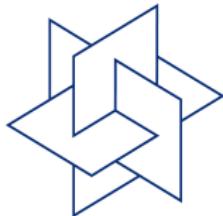


# The Post Hartree Fock methods for the electronic Schrödinger equation

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TU Berlin

Heidelberg IWR October 2017



**DFG Research Center MATHEON**  
Mathematics for key technologies



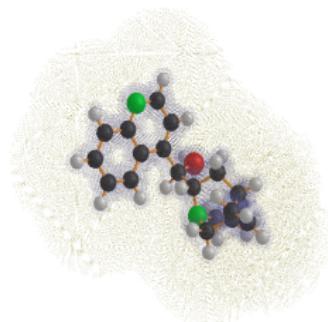
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## Overview:

- I. Introduction - the electronic Schrödinger equation
  - II. The full CI method method and second quantization
  - III. The restricted CI and Coupled Cluster method
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# I. Introduction

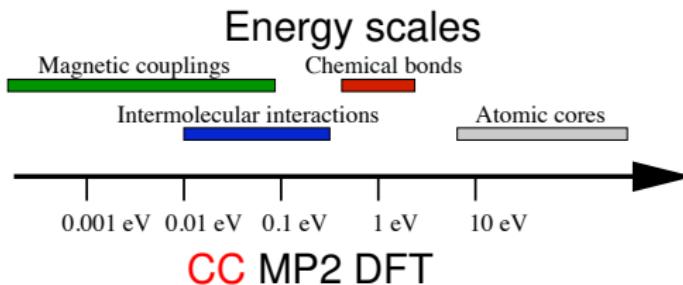


# The stationary electronic Schrödinger equation (variational formulation)

Find antisymmetric **wave function**  $\Psi \in \mathbb{H}^1$  and eigenvalue  $E \in \mathbb{R}$  such that

$$\langle \Phi, \hat{H}\Psi \rangle = E \langle \Phi, \Psi \rangle \quad \text{for all } \Phi \in \mathbb{H}^1.$$

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- ▶ the wave function  $\Psi$  is **antisymmetric** (Pauli principle),

$$\begin{aligned} & \Psi((x_1, s_1), \dots, (x_i, s_i), \dots, (x_j, s_j), \dots, (x_N, s_N)) \\ &= -\Psi((x_1, s_1), \dots, (x_j, s_j), \dots, (x_i, s_i), \dots, (x_N, s_N)). \end{aligned}$$

- ▶  $N$ -fermion space:

$$\Psi \in \mathbb{L}_2 := \bigwedge_{i=1}^N L_2(\mathbb{R}^3 \times \{\pm \frac{1}{2}\})$$

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$$\hat{H}: H^1(\mathbb{R}^{3N} \times \{\pm\frac{1}{2}\}^N) \rightarrow H^{-1}(\mathbb{R}^{3N} \times \{\pm\frac{1}{2}\}^N)$$

is the **weak Hamiltonian**, defined via

$$\hat{H} = -\frac{1}{2} \sum_{i=1}^N \hat{\Delta}_i + \frac{1}{2} \sum_{i=1}^N \sum_{\substack{j=1 \\ j \neq i}}^N \frac{1}{|x_i - x_j|} - \sum_{i=1}^N \sum_{k=1}^M \frac{Z_k}{|x_i - R_k|}.$$

▷  $\mathbb{H}^1 := H^1(\mathbb{R}^{3N} \times \{\pm\frac{1}{2}\}^N) \cap \mathbb{L}_2$

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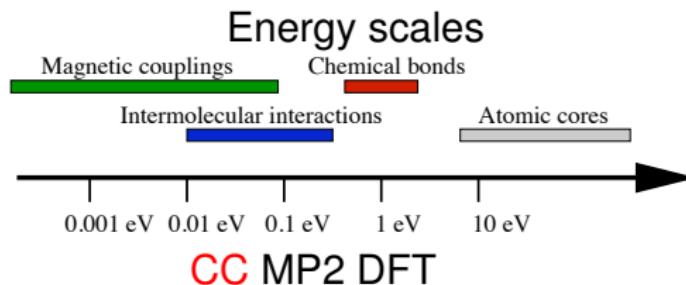

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# The stationary electronic Schrödinger equation (variational formulation), ground state problem

Find antisymmetric wave function  $\Psi \in \mathbb{H}^1$  and eigenvalue  $E^* \in \mathbb{R}$  such that

$$\langle \Phi, \hat{H}\Psi \rangle = E^* \langle \Phi, \Psi \rangle \quad \text{for all } \Phi \in \mathbb{H}^1.$$

and such that  $E^*$  is the **lowest eigenvalue** of  $\hat{H}$ .



## The "full CI" scheme

Starting point: One-particle (ortho-normal) basis

$$B = \{\psi_1, \dots, \psi_d\},$$

$\leadsto$  antisymmetric tensor basis (Slater determinants)

$$\mathbb{B}_d = \{\Psi_\mu = \Psi[p_1, \dots, p_N], 1 \leq p_i < p_{i+1} \leq d\},$$

$$\Psi[p_1, \dots, p_N] := \bigwedge_{i=1}^N \psi_{p_i} = \frac{1}{\sqrt{N!}} \det(\psi_{p_i}(x_j, s_j))_{i,j=1}^N.$$

Galerkin (full CI) solution  $\Psi_d$ , solving

$$\langle \Psi_\mu, H\Psi_d \rangle = E \langle \Psi_\mu, \Psi_d \rangle \quad \text{for all } \Psi_\mu \in \mathbb{B}_d.$$

(an extremely high-dimensional problem, mostly unsolvable in practice)

# Slater-Condon Rules

See e.g. Szabo & Ostlund (83)

Single particle operators:

$$1) \Psi^1 = \Psi^2$$

$$\Psi^1 = \Psi[\dots, \nu_i, \nu_j, \dots]$$

$$\langle \Psi, h\Psi \rangle = \sum_{l=1}^N \langle l | h | l \rangle$$

$$2) \Psi^2 = T_j^a \Psi^1$$

$$\Psi^1 = \Psi[\dots, \nu_i, \nu_j, \dots]$$

$$\langle \Psi^2, h\Psi^1 \rangle = \langle \textcolor{red}{a} | h | \textcolor{green}{j} \rangle$$

$$3) \Psi^1 = T_{i,j}^{a,b} \Psi^2$$

$$\Psi^1 = \Psi[\dots, \nu_i, \nu_j, \dots]$$

$$\langle \Psi^2, h\Psi^1 \rangle = 0$$

or higher excitations

$$\Psi^2 = \Psi[\dots, \nu_a, \nu_b, \dots]$$

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Proof: Leibniz formula +

$$\langle \Psi^2, h_l \Psi^1 \rangle = \int \varphi_a(\mathbf{x}_l) (h_l \varphi_i)(\mathbf{x}_l) \cdot \int \varphi_b(\mathbf{x}_k) \varphi_j(\mathbf{x}_k) \int \varphi_m(\mathbf{x}_1) \varphi_m(\mathbf{x}_1) \dots = \langle a|h|l \rangle \delta_{b,j}$$

# Slater-Condon Rules

Slater-Condon Rules for two particle operators:

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$$\Psi^2 = \Psi[\dots, \nu_i, \nu_j, \dots]$$

$$\langle \Psi^1, G\Psi^1 \rangle = \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \langle ij || ij \rangle$$

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$$\langle \Psi^2, G_{l,k} \Psi^1 \rangle = \int \int \varphi_a(\mathbf{x}_l) \varphi_b(\mathbf{x}_k) G_{l,k} [\varphi_i(\mathbf{x}_l) \varphi_j(\mathbf{x}_k) - \varphi_i(\mathbf{x}_k) \varphi_j(\mathbf{x}_l)] \cdot \int \varphi_m(\mathbf{x}_1) \varphi_m(\mathbf{x}_1) \dots$$

$$\langle a, b | i, j \rangle := \sum_{s, s' = \pm \frac{1}{2}} \int \int \frac{\varphi_a^*(\mathbf{x}, s) \varphi_b^*(\mathbf{x}', s') \varphi_i(\mathbf{x}, s) \varphi_j(\mathbf{x}', s')}{|\mathbf{x} - \mathbf{x}'|} d\mathbf{x} d\mathbf{x}'$$

$$\langle a, b || i, j \rangle := \langle a, b | i, j \rangle - \langle a, b | j, i \rangle.$$

# Ansatz space and reference determinant

Hartree-Fock (or DFT) calculation

gives

- (a) a (quite good) rank-1 approximation of eigenfunction  $\Psi$ ,

$$\Psi_0 = \Psi[1, \dots, N] := \bigwedge_{i=1}^N \psi_i(x_i, s_i) = \frac{1}{\sqrt{N!}} \det(\psi_{p_i}(x_j, s_j))_{i,j=1}^N$$

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- (b) one-particle basis  $B$  of  $L_d^2(\mathbb{R}^3 \times \{\pm \frac{1}{2}\})$ ,

$$B = \left\{ \underbrace{\psi_1, \dots, \psi_N}_{\text{occupied orbitals}}, \underbrace{\psi_{N+1}, \dots, \psi_d}_{\text{virtual orbitals}} \right\}$$

occ  $\perp$  virt in  $\mathbb{L}^2$  and w.r.t. inner product  $F \sim H^1$

$\rightsquigarrow$  tensor basis  $\mathbb{B}_d = \{\Psi[p_1, \dots, p_N], 1 \leq p_i < p_{i+1} \leq d\}$  of  $\mathbb{L}_d^2$

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Post-Hartree-Fock calculation



CI (Galerkin) calculation



Coupled Cluster calculation

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Post-Hartree-Fock calculation



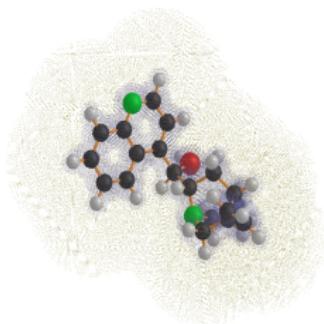
~~CI (Galerkin) calculation~~

Coupled Cluster calculation

Accuracy, size consistency, ...

I.

## Full CI method and second quantization



# Finite Dimensional Configuration Space

Consider a finite orthonormal basis  $\{\varphi_i : i = 1, \dots, d\}$

$$\mathcal{X}_d := \text{span} \{ \varphi_i : i = 1, \dots, d \} \subset \mathcal{X} = H^1(\mathbb{R}^3, \pm \frac{1}{2})$$

ONB of antisymmetric functions by *Slater determinants*

$$\Psi_{SL}[k_1, \dots, k_N](\mathbf{x}_1, s_1; \dots; \mathbf{x}_N, s_N) := \frac{1}{\sqrt{N!}} \det(\varphi_{k_i}(\mathbf{x}_j, s_j))_{i,j=1}^N$$

$$\mathcal{V}_{FCI}^N = \bigwedge_{i=1}^N \mathcal{X}_d = \text{span}\{\Psi_{SL} = \Psi[k_1, \dots, k_N] : k_1 < \dots < k_N \leq d\} \subset \mathcal{V}$$

Curse of dimensionality  $\dim \mathcal{V}_{FCI}^N = \binom{d}{N}$  !

For a finite dimensional operator  $H : \mathcal{V}_{FCI}^N =: \mathcal{V} \rightarrow \mathcal{V}'$

$$H\Psi = \sum_{\nu', \nu} \langle \Psi_{\nu'}, H\Psi_{\nu} \rangle c_{\nu} \Psi_{\nu'} = \sum_{\nu'} (\mathbf{Hc})_{\nu'} \Psi_{\nu'}.$$

## (Finite dimensional) Fock space

Let  $\Psi_\mu := \Psi_{SL}[\varphi_{k_1}, \dots, \varphi_{k_N}] = \Psi[k_1, \dots, k_N]$  basis Slater det.  
Labeling of indices  $\mu \in \mathcal{I}$  by a binary string of length  $d$

$$\text{e.g.: } \mu = (0, 0, 1, 1, 0, \dots) =: \sum_{i=0}^{d-1} \mu_i 2^i, \quad \mu_i = 0, 1,$$

- $\mu_i = 1$  means  $\varphi_i$  is (occupied) in  $\Psi[\dots]$ .
- $\mu_i = 0$  means  $\varphi_i$  is absent (not occupied) in  $\Psi[\dots]$ .

(discrete) Fock space  $\mathcal{F}_d$  is of  $\dim \mathcal{F}_d = 2^d$ , ( $\mathbb{K} := \mathbb{C}, \mathbb{R}$ )

$$\mathcal{F}_d := \bigoplus_{N=0}^d \mathcal{V}_{FCI}^N = \{\Psi : \Psi = \sum_{\mu} c_{\mu} \Psi_{\mu}\}$$

$$\mathcal{F}_d \simeq \{\mathbf{c} : \mu \mapsto \mathbf{c}(\mu_0, \dots, \mu_{d-1}) = c_{\mu} \in \mathbb{K}, \mu_i = 0, 1\} = \bigotimes_{i=1}^d \mathbb{K}^2$$

This is a basis dependent formalism  $\Rightarrow$  : Second Quantization

## Discrete annihilation and creation operators

$$A := \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, A^T = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

In order to obtain the correct phase factor, we define

$$S := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

and the discrete **annihilation operator**

$$a_p \simeq \mathbf{a}_p := S \otimes \dots \otimes S \otimes A_{(p)} \otimes I \otimes \dots \otimes I$$

where  $A_{(p)}$  means that  $A$  appears on the  $p$ -th position in the product.

The **creation operator**

$$a_p^\dagger \simeq \mathbf{a}_p^T := S \otimes \dots \otimes S \otimes A_{(p)}^T \otimes I \otimes \dots \otimes I$$

# Schrödinger Operator in (discrete-finite) Fock Spaces

One and two electron integrals

$$h_q^p := \langle \varphi_q, \left( \frac{-1}{2} \Delta - V_{\text{core}} \right) \varphi_p \rangle , \quad p, q, r, s = 1, \dots, d ,$$

$$g_{r,s}^{p,q} := \frac{1}{2} \langle \varphi_r(\mathbf{x}, s_1) \varphi_s(\mathbf{y}, s_2), \frac{\varphi_p(\mathbf{x}, s_1) \varphi_q(\mathbf{y}, s_2)}{|\mathbf{x} - \mathbf{y}|} \rangle$$

Theorem (Slater -Condon, (HRS (2010)))

*The Galerkin matrix  $\mathbf{H}$  of electronic Schrödinger Hamiltonian is sparse and can be represented by*

$$\mathbf{H} = \sum_{p,q=1}^d h_p^q \mathbf{a}_p^T \mathbf{a}_q + \sum_{p,q,r,s=1}^d g_{r,s}^{p,q} \mathbf{a}_r^T \mathbf{a}_s^T \mathbf{a}_p \mathbf{a}_q .$$

Modification, treating spin explicitly, (spin symmetries and other symmetries could be enforced (Legeza et al. ))

$$\mathcal{F}_d = \bigotimes_{i=1}^d \mathbb{K}^4 , \quad (\mathbb{K} = \mathbb{C}, \mathbb{R})$$

## Particle number operator and Schrödinger eqn.

$$P := \sum_{p=1}^d a_p^\dagger a_p, \quad \simeq \quad \mathbf{P} := \sum_{p=1}^d \mathbf{a}_p^T \mathbf{a}_p.$$

The space of  $N$ -particle states is given by

$$\mathcal{V}^N := \left\{ \mathbf{c} \in \bigotimes_{i=1}^d \mathbb{K}^2 : \mathbf{P}\mathbf{c} = N\mathbf{c} \right\}.$$

Variational formulation of the Schrödinger equation

$$\boxed{\mathbf{c} = (c(\mu)) = \operatorname{argmin} \{ \langle \mathbf{H}\mathbf{c}, \mathbf{c} \rangle : \langle \mathbf{c}, \mathbf{c} \rangle = 1, \mathbf{P}\mathbf{c} - N\mathbf{c} = 0 \}}.$$

Remark: Further approximation of  $\mathbf{c}(\mu_1, \dots, \mu_d) \in \bigotimes_{i=1}^d \mathbb{C}^2$  by tensor product approximation, e.g. Matrix product states (TT tensor trains) or tree tensor network states (hierarchical tensors) by DMRG (density matrix renormalization group) algorithm.

## Optimizing the Configuration Space - MCSFC

Find a finite orthonormal basis  $\{\varphi_i : i = 1, \dots, d\}$  for  $d < K$

$$\mathcal{X}_d := \text{span} \{ \varphi_i : i = 1, \dots, d \} \subset \mathcal{X}_K := \text{span} \{ \varphi_i : i = 1, \dots, K \}.$$

Iteratively: Given FCI solution  $\mathbf{c}$

$$(\varphi_i)_{i=1,\dots,K} := \underset{\mathbf{U}}{\text{argmin}} \langle \mathbf{H}(\mathbf{U})\mathbf{c}, \mathbf{c} \rangle : U_{i,j} : i \leq d, k \leq K \}$$

where

$$\mathbf{H}(\mathbf{U}) = \sum_{p,q=1}^d h_p^q(\mathbf{U}) \mathbf{a}_p^T \mathbf{a}_q + \sum_{p,q,r,s=1}^d g_{r,s}^{p,q}(\mathbf{U}) \mathbf{a}_r^T \mathbf{a}_s^T \mathbf{a}_p \mathbf{a}_q.$$

$$h_p^q(\mathbf{U}) = \sum_{k_1, k_2} h_{k_1}^{k_2} U_{p,k_1} U_{q,k_2}, \quad g_{r,s}^{p,q}(\mathbf{U}) = \sum_{k_1, k_2} h_{j_1, j_2}^{k_1, k_2} U_{p,j_1} U_{q,j_2} U_{p,k_1} U_{q,k_2}$$

$$\Rightarrow \varphi_i^{\text{new}} := \sum_{k=1}^K U_{i,k} \varphi_k, \quad i = 1, \dots, K$$

⇒ compute new FCI solution.

Multi-configurational self-consistent field (MCSCF)

Existence (for  $K \rightarrow \infty$ ): G. Friesecke, M. Lewin

## Second Quantization - in Function Spaces

Second quantization: annihilation operators:

$$a_j \Psi[j, 1, \dots, N] := \Psi[1, \dots, N]$$

and := 0 if  $j$  not apparent in  $\Psi[\dots]$ .

The adjoint of  $a_b$  is a creation operator  $v$

$$a_b^\dagger \Psi[1, \dots, N] = \Psi[b, 1, \dots, N] = (-1)^N \Psi[1, \dots, N, b]$$

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Theorem (Slater-Condon Rules)

$H : \mathcal{V} \rightarrow \mathcal{V}$  resp.  $H : \mathcal{V}_{FCI} \rightarrow \mathcal{V}_{FCI}$  reads as

$$\mathcal{H} = \mathcal{F} + \mathcal{U} = \sum_{p,q} f_r^p a_p^\dagger a_r + \sum_{p,q,r,s} u_{rs}^{pq} a_q^\dagger a_p^\dagger a_r a_s$$

## Excitation operators

Single excitation operator , Let  $\Psi_0 = \Psi[1, \dots, N]$  be a reference determinant then e.g.

$$X_1^k \Psi_0 := a_{\color{red} k}^\dagger a_1 \Psi_0$$

$$(-1)^{-p} \Psi_1^{\color{red} k} = \Psi[\color{red} k, 2, \dots, N] = X_1^{\color{red} k} \Psi_0 = X_j^k \Psi[1, \dots, \dots, N] = a_{\color{red} k}^\dagger a_1 \Psi_0$$

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higher excitation operators

$$X_\mu := X_{l_1, \dots, l_k}^{\color{red} b_1, \dots, b_k} = \prod_{i=1}^k X_{l_i}^{\color{red} b_i} , \quad 1 \leq l_i < l_{i+1} \leq N , \quad N < b_i < b_{i+1} .$$

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$$(-1)^{-p} \Psi_1^{\color{red} k} = \Psi[\color{red} k, 2, \dots, N] = X_1^{\color{red} k} \Psi_0 = X_j^k \Psi[1, \dots, \dots, N] = a_{\color{red} k}^\dagger a_1 \Psi_0$$

higher excitation operators

$$X_\mu := X_{l_1, \dots, l_k}^{\color{red} b_1, \dots, b_k} = \prod_{i=1}^k X_{l_i}^{\color{red} b_i} , \quad 1 \leq l_i < l_{i+1} \leq N , \quad N < b_i < b_{i+1} .$$

A CI solution  $\Psi = c_0 \Psi_0 + \sum_{\mu \in \mathcal{J}} c_\mu \Psi_\mu$  can be written by

$$\Psi = \left( c_0 + \sum_{\mu \in \mathcal{J}} c_\mu X_\mu \right) \Psi_0 , \quad c_0, c_\mu \in \mathbb{R} .$$

Intermediate normalization:  $c_0 := 1$  i.e.  $\langle \Psi, \Psi_0 \rangle = 1$

## Single + double excitations

Discrete one-particle basis  $B = \{\chi_1, \dots, \chi_N, \chi_{N+1}, \dots, \chi_{D+1}\}$ .

Write (full Galerkin, “full CI”) solution  $\Psi_{\text{FCI}}$  as

$$\begin{aligned}\Psi_{\text{FCI}} &= (I + T_{\text{full CI}})\Psi_0 \\ &= \Psi_0 + \sum_{i_1, a_1} s_{i_1}^{a_1} X_{i_1}^{a_1} \Psi_0 + \sum_{i_1, i_2, a_1, a_2} s_{i_1, i_2}^{a_1, a_2} X_{i_1, i_2}^{a_1, a_2} \Psi_0 \\ &\quad + \dots + \sum_{i_1, \dots, i_N, a_1, \dots, a_N} s_{i_1, \dots, i_N}^{a_1, \dots, a_N} X_{i_1, \dots, i_N}^{a_1, \dots, a_N} \Psi_0.\end{aligned}$$

Truncation according to excitation level, e.g.:

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Is exact FCI for  $N = 2$ , e.g.  $H_2$  molecule or  $He$  atom

- ▶ CISD (single/double):

$$\begin{aligned}\Phi_{\text{CISD}} &= (I + T_{\text{SD}})\Psi_0 \\ &= (I + \sum_{i_1, a_1} s_{i_1}^{a_1} X_{i_1}^{a_1} + \sum_{i_1, i_2, a_1, a_2} s_{i_1, i_2}^{a_1, a_2} X_{i_1, i_2}^{a_1, a_2})\Psi_0\end{aligned}$$

## Single + double excitations

Discrete one-particle basis  $B = \{\chi_1, \dots, \chi_N, \chi_{N+1}, \dots, \chi_{D+1}\}$ .

Write (full Galerkin, “full CI”) solution  $\Psi_{\text{FCI}}$  as

$$\begin{aligned}\Psi_{\text{FCI}} &= (I + T_{\text{full CI}})\Psi_0 \\ &= \Psi_0 + \sum_{i_1, a_1} s_{i_1}^{a_1} X_{i_1}^{a_1} \Psi_0 + \sum_{i_1, i_2, a_1, a_2} s_{i_1, i_2}^{a_1, a_2} X_{i_1, i_2}^{a_1, a_2} \Psi_0 \\ &\quad + \dots + \sum_{i_1, \dots, i_N, a_1, \dots, a_N} s_{i_1, \dots, i_N}^{a_1, \dots, a_N} X_{i_1, \dots, i_N}^{a_1, \dots, a_N} \Psi_0.\end{aligned}$$

Truncation according to excitation level, e.g.:

- ▶ CCSD (single/double):

$$\begin{aligned}\Phi_{\text{CCSD}} &= e^{T_{\text{SD}}} \Psi_0 \\ &= \exp(I + \sum_{i_1, a_1} s_{i_1}^{a_1} X_{i_1}^{a_1} + \sum_{i_1, i_2, a_1, a_2} s_{i_1, i_2}^{a_1, a_2} X_{i_1, i_2}^{a_1, a_2}) \Psi_0\end{aligned}$$

## Single + double excitations

Discrete one-particle basis  $B = \{\chi_1, \dots, \chi_N, \chi_{N+1}, \dots, \chi_{D+1}\}$ .

Write (full Galerkin, “full CI”) solution  $\Psi_{\text{FCI}}$  as

$$\begin{aligned}\Psi_{\text{FCI}} &= (I + T_{\text{full CI}})\Psi_0 \\ &= \Psi_0 + \sum_{i_1, a_1} s_{i_1}^{a_1} X_{i_1}^{a_1} \Psi_0 + \sum_{i_1, i_2, a_1, a_2} s_{i_1, i_2}^{a_1, a_2} X_{i_1, i_2}^{a_1, a_2} \Psi_0 \\ &\quad + \dots + \sum_{i_1, \dots, i_N, a_1, \dots, a_N} s_{i_1, \dots, i_N}^{a_1, \dots, a_N} X_{i_1, \dots, i_N}^{a_1, \dots, a_N} \Psi_0.\end{aligned}$$

Truncation according to excitation level, e.g.:

- ▶ CCSD (single/double):

$$\begin{aligned}\Phi_{\text{CCSD}} &= e^{T_{\text{SD}}} \Psi_0 \\ &= \exp(I + \sum_{i_1, a_1} s_{i_1}^{a_1} X_{i_1}^{a_1} + \sum_{i_1, i_2, a_1, a_2} s_{i_1, i_2}^{a_1, a_2} X_{i_1, i_2}^{a_1, a_2}) \Psi_0\end{aligned}$$

## Single + double excitations

Discrete one-particle basis  $B = \{\chi_1, \dots, \chi_N, \chi_{N+1}, \dots, \chi_{D+1}\}$ .

Write (full Galerkin, “full CI”) solution  $\Psi_{\text{FCI}}$  as

$$\begin{aligned}\Psi_{\text{FCI}} &= (I + T_{\text{full CI}})\Psi_0 \\ &= \Psi_0 + \sum_{i_1, a_1} s_{i_1}^{a_1} X_{i_1}^{a_1} \Psi_0 + \sum_{i_1, i_2, a_1, a_2} s_{i_1, i_2}^{a_1, a_2} X_{i_1, i_2}^{a_1, a_2} \Psi_0 \\ &\quad + \dots + \sum_{i_1, \dots, i_N, a_1, \dots, a_N} s_{i_1, \dots, i_N}^{a_1, \dots, a_N} X_{i_1, \dots, i_N}^{a_1, \dots, a_N} \Psi_0.\end{aligned}$$

Truncation according to excitation level, e.g.:

- ▶ CCSD (single/double):

$$\begin{aligned}\Phi_{\text{CCSD}} &= e^{T_{\text{SD}}} \Psi_0 \\ &= \exp(I + \sum_{i_1, a_1} s_{i_1}^{a_1} X_{i_1}^{a_1} + \sum_{i_1, i_2, a_1, a_2} s_{i_1, i_2}^{a_1, a_2} X_{i_1, i_2}^{a_1, a_2}) \Psi_0\end{aligned}$$

# Coupled Cluster Method - Exponential-ansatz

## Theorem (S. 06)

Let  $\Psi_0$  be a *reference Slater determinant*, e.g.  $\Psi_0 = \Psi_{HF}$  and  $\Psi \in \mathcal{V}_{FCI}, \mathcal{V}$ , satisfying

$$\langle \Psi, \Psi_0 \rangle = 1 \quad \text{intermediate normalization} .$$

Then there exists an *excitation operator*  
( $T_1$  - single-,  $T_2$  - double- , ... excitation operators)

$$T = \sum_{i=1}^N T_i = \sum_{\mu \in \mathcal{J}} t_\mu X_\mu \quad \text{such that}$$

$$\boxed{\Psi = e^T \Psi_0} = \Pi_\mu (I + t_\mu X_\mu) \Psi_0 .$$

Key observations: for analytic functions :

$$f(T) = \sum_{k=0}^N a_k T^k \text{ since } [X_\mu, X_\nu] = 0 , \quad X_\mu^2 = 0 , \quad T^N = 0 .$$

## Ground State Energy in Intermediate normalization

$H = F + U$ ,  $F$  Fock operator (single particle operator)

$F\Psi_0 = \sum_{i=1}^N \epsilon_i \Psi_0$ ),  $U = H - F$  fluctuation potential,

Let  $\Psi_h = \Psi_0 + \Phi_h \approx \Psi$ ,  $\Phi_h = Q_h \phi_h \perp \Psi_0$  an approximated ground state normalized by

$$\langle \Psi_h, \Psi_h \rangle = 1 \Rightarrow E_h = \langle \Psi_h, H \Psi_0 \rangle \approx E_{HF} + E_{cor}$$

Iterative solution:  $\Psi_h^0 := \Psi_0$ , residuum:  $r_0 = (H - E_{HF})\Psi_0$

**MP II**:  $\Phi_h = \Phi_D \perp \Psi_0$ , a 1st iteration step with

$$\Psi_D := \Psi_h^1 = \Psi_0 + \Phi_h = \Psi_0 + Q_h(F - \sum \epsilon_i)^{-1} Q_h U \Psi_0 = \Psi_{MPII}$$

1st iteration step of **Jacobi Davidson** - close to: **CEPA (0)** - linearized CC:

$$\Phi_h = (Q_h(H_{CID} - E_{HF})Q_h)^{-1} r_0 = (Q_h(H - E_{HF})Q_h)^{-1} U \Phi_D \perp \Psi_0$$

ground state with **RPA (random phase approximation)** is equivalent to a simplified CCD (Sorensen & Scuseria & al.)